



Application No. 09/856,339

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.: 09/856,339
FILING DATE: May 18, 2001
APPLICANT: Wong *et al.*
TITLE: Process for Oxidising
Terpenes

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DOCKET: P02196US0
EXAMINER: To be assigned
ART UNIT: To be assigned

Assistant Commissioner of Patents
Washington, D.C. 20231

1646

NOTIFICATION OF DEFECTIVE RESPONSE

AMENDED SEQUENCE LISTING UNDER 37 C.F.R. §1.185

Dear Sir or Madam:

In response to the Notice of Defective Response, dated October 24, 2001, Applicants file the enclosed amended Sequence Listing by a substitute paper copy and computer readable disk copy in the above-identified application for patent. Applicants submit herewith by Preliminary Amendment an amendment directing entry of the Sequence Listing into the specification.

The Error report indicated that feature "<220> to <223>" indicating the origin of an Artificial Sequence was missing from sequences 1-23 of the Sequence Listing as filed. This feature does not apply to sequences 1, 2, 20 and 21 as filed in the amended Sequence Listing because the organism is known and specified. This error has been corrected in sequences 3-19, 22 and 23. However, upon further review of the sequence listing as filed, a number of other errors were noted, including that the sequence identifiers used in the Sequence Listing as filed do not correspond with the Sequence Listing filed in the corresponding and priority applications (GB 982541.2 filed on November 19, 1998 and PCT/GB99/03873 filed on November 19, 1999).

We have cancelled sequences denoted as SEQ ID NOS:6, 9, 18, 19 and 23 in the Sequence Listing as filed because they were inadvertently added to the Sequence Listing as filed and are not relevant structures to the above-identified application. New sequences identified as SEQ ID NOS:6, 9, 18, 19 and 23 correspond to the sequences filed in the corresponding and priority applications under the same sequence identifier.

We have added sequences that were inadvertently and unintentionally left out of the Sequence Listing as filed. Support for these sequences, new SEQ ID NO:3, 4, 8, 23 and 24, are found in the specification on page 18, lines 2-8; page 19, line 23; page 29, line 25; page 30, line 5; page 30, line 5, respectively. These sequences are also found in the Sequence

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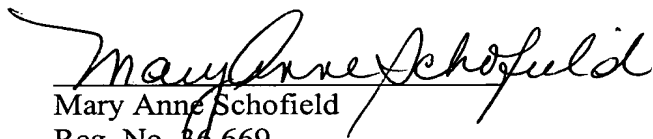
Listing filed with the corresponding and priority applications. Thus, the addition of these sequences do not constitute new matter. Sequences denoted as SEQ ID NO:3, 4, and 8 in the Sequence Listing as filed are now identified as SEQ ID NOS: 2, 20, 11, respectively, and the sequence denoted as SEQ ID NO: 23 in the Sequence Listing as filed has been cancelled in the amended Sequence Listing filed herewith.

We have amended the sequence identifiers of the sequences the Sequence Listing as filed, excluding those that are cancelled by amendment herein, and those sequences added herein to comport with the sequence identifiers filed in the corresponding and priority applications. The submission filed does not include matter that goes beyond the disclosure in the international application.

Applicants hereby state that the content of the substitute paper and computer readable copies of the amended Sequence Listing, submitted in accordance with 37 C.F.R. §1.821(g) and pursuant to 37 C.F.R. §1.821(c), are the same and does not include matter which goes beyond the disclosure in the international application. Applicants hereby state that the amended Sequence Listing be included as new pages of the specification to be inserted before the claims, which begin on page 54, as required by 37 C.F.R. §1.821(e).

Respectfully submitted,

Date: Nov. 21, 2001
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